

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
S.T		2,996,431		R.H. Barry	08-15-1961	
		3,146,169		D. Stephenson et al.	08-25-1964	
		3,627,583		John P. Troy et al.	12-14-1971	
		4,097,606		Leonard Chavkin et al.	06-27-1978	
		4,139,589		Monique Beringer et al.	02-13-1979	
		4,230,693		Henry J. Izzo et al.	10-28-1980	
		4,271,206		Harry F. Fariel et al.	06-02-1981	TECH CEN REC'D 04/10/04
		4,273,793		Harry F. Fariel et al.	06-16-1981	
		4,362,757		Andy C. Chen et al.	12-07-1982	
		4,372,942		Paul A. Cimiluca	02-08-1983	
		4,425,332		Michael H. James	01-10-1984	
		4,661,521		Anil M. Salpekar et al.	04-28-1987	
		4,686,212		Fred P. Ducatman et al.	08-11-1987	
		4,749,575		Avner Rotman	06-07-1988	
		4,757,090		Anil M. Salpekar et al.	07-12-1988	
		4,762,719		Mark Forester	08-09-1988	
		4,851,226		Thomas N. Julian et al.	07-25-1989	
		4,882,167		Choong-Gook Jang	11-21-1989	
		4,894,236		Choong-Gook Jang et al.	01-16-1990	
		4,929,446		Raymond A. Bartolucci	05-29-1990	
		5,002,970		George A. Eby, III	03-26-1991	
		5,075,114		Edward J. Roche	12-24-1991	
		5,169,645		Atul J. Shukla et al.	12-08-1992	
		5,213,808		Daniel Ba-Shalom et al.	05-25-1993	
		5,368,863		James B. Eckenhoff et al.	11-29-1994	
		5,391,378		Richard Sanderson	02-21-1995	
		5,456,920		Hiroshi Matoba et al.	10-10-1995	
		5,489,436		Michael R. Hoy et al.	02-06-1996	
		5,578,336		Woodrow C. Monte	11-26-1996	
S.T		5,614,207		Manoj N. Shah et al.	03-25-1997	

Examiner Signature	S.T	Date Considered	1/20/04
--------------------	-----	-----------------	---------



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 3

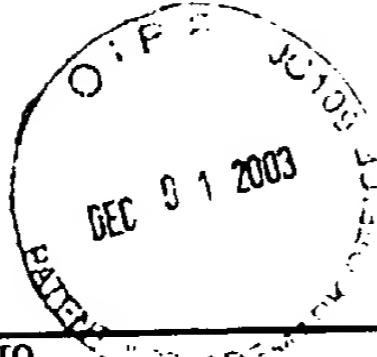
<b>Application Number</b>	09/966,450
<b>Filing Date</b>	September 28, 2001
<b>First Named Inventor</b>	Harry S. Sowden et al.
<b>Group Art Unit</b>	1615
<b>Examiner Name</b>	Todd Ware
<b>Attorney Docket Number</b>	MCP-296

S.T.	5,681,584		Louis Savastano et al.	10-28-1997	
	5,711,961		Alberto Reiner et al.	01-27-1998	
	5,807,579		Zalman Vilkov et al.	09-15-1998	
	5,853,760		Karsten Cremer	12-29-1998	
	6,103,257		Keith Nisonoff	08-15-2000	
	6,149,939		Matthew A. Strumor et al.	11-21-2000	
	6,200,590		John G. Eley	03-13-2001	
	6,217,907		Edward A. Hunter et al.	04-17-2001	
	6,248,760		Paul C. Wilhelmsen	06-19-2001	
	6,365,185		Wolfgang A. Ritschel	04-02-2002	
	2001/0001280	A1	Liang-Chang Dong et al.	05-17-2001	
	2002/0028240	A1	Toyohiro Sawada et al.	03-07-2002	
S.T.					

RECEIVED  
DEC 04 2003  
TECH CENTER 1600/2800

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				
S.T.		DE	27 10 307		Verfahren zur Herstellung von Verpackung von festen pharmazeutischen Dosiseinheiten (English abstract attached)	09-15-1977		
		DE	198 34 180	A1	Benckiser N.V. (English abstract attached)	02-03-2000		
		DE	199 63 569	A1	Reckitt Benckiser N.V. (English abstract attached)	07-26-2001		
		EP	0 239 983	B1	Boehringer Ingelheim International GmbH	10-07-1987		
		EP	0 294 993	B1	Pfizer Inc.	12-14-1991		
		EP	0 387 885	B1	Bristol-Myers Squibb Company	09-19-1990		
		EP	0 455 599	A1	Warner-Lambert Company	11-06-1991		
		EP	0 481 547	A1	Unilever PLC	04-22-1992		
		EP	0 572 731	A1	The Procter & Gamble Company	12-08-1993		
		EP	0 788 790	A2	Jagotec AG	02-06-1996		
		EP	0 861 659	A1	University of Kentucky Research Foundation	02-21-1992		
		EP	0 864 324	B1	BASF Aktiengesellschaft (English abstract attached)	03-12-1997		
		EP	0 950 402	B1	McNeil-PPC, Inc.	02-22-1999		
S.T.		EP	1 077 065	A1	Chugai Seiyaku Kabushiki Kaisha	02-21-2001		
Examiner Signature	S.T.				Date Considered	1/20/04		



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 3

Application Number	09/966,450
Filing Date	September 28, 2001
First Named Inventor	Harry S. Sowden et al.
Group Art Unit	1615
Examiner Name	Todd Ware
Attorney Docket Number	MCP-296

SAT		GB	994 742		The Wellcome Foundation Limited	05-04-1961	
		GB	888 038		The Wander Company	01-24-1962	
		GB	936 386		The Wellcome Foundation Limited	09-11-1963	
		GB	1 144 915		Armour Pharmaceutical Company	03-12-1969	
		GB	1 372 040		Boehringer Ingelheim	10-30-1974	
		GB	1 464 388		Schering Aktien-Gesellschaft	02-09-1977	
		GB	2 197 778	A	Katjes Fassin GmbH & Co. KG	06-02-1988	
		GB	2 284 760	A	Euroceltique S.A.	06-21-1995	
		WO	94/06416	A1	Ubaldo Conte et al.	03-31-1994	
		WO	95/02396	A1	Smithkline Beecham Corporation	01-26-1995	
		WO	97/06695	A1	The Procter & Gamble Company	02-27-1997	
		WO	97/15293	A2	BASF Aktiengesellschaft	05-01-1997	
		WO	98/20870	A1	Pozen Inc.	05-22-1998	
		WO	99/32092	A1	Smithkline Beecham Corporation	07-01-1999	
		WO	99/51209	A1	Impax Pharmaceuticals, Inc.	10-14-1999	
		WO	99/56730	A1	Alza Corporation	11-11-1999	
		WO	00/18447	A2	Impax Pharmaceuticals, Inc.	04-06-2000	
		WO	00/25755	A1	Fuisz Technologies Ltd.	05-11-2000	
SAT							

RECEIVED  
DEC 9 2003  
TECH CENTER 1000/2900

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
SAT		LACHMAN, Leon et al., "Chapter II - Tablets", <i>The Theory and Practice of Industrial Pharmacy</i> , (1986), pp. 293-345	

Examiner Signature	SAT	Date Considered	1/20/04
--------------------	-----	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case.

Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and

Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313-1450.

OIPF  
JC109  
OCT 09 2003

ENTER TRADEMARKS  
SUBS

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
GSA FPMR (41 CFR) 101-11.4 (a)(2), Item 101, GSA Control Number 101-11.4

TECH CENTER 1000  
PTO/SB/060 (Rev. 10-03)  
EFFECTIVE OCTOBER 2003

TECH CENTER 1-800-2

PTO/SB/08A (03/09) 10

Approved for use through 10/31/2002. OMB 0651-0031

PATENT AND TRADEMARK OFFICE: U.S. DEPARTMENT OF COMMERCE

formation unless it displays a valid OMB control number.

Application Number 09/966,450

On Number 65,888,165  
Date September 28

Delivery Date September 28, 2018

*ned Inventor* Harry S. Sowde

Group Art Unit | 1615

~~Substitute for form 1449A/PTO~~

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Substitute for form 1449A/PTO		Application Number	09/966,450
		Filing Date	September 28, 2001
		First Named Inventor	Harry S. Sowden
		Group Art Unit	1615
		Examiner Name	Todd Ware
		Attorney Docket Number	MCP90296
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			
(use as many sheets as necessary)			
Sheet 1 of 1			

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature	S T	Date Considered	1/20/04
-----------------------	-----	--------------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.